

GEF 3 International Waters (IW) Simplified Tracking Tool

EXPLANATORY NOTE

General:

The simplified GEF 3 International Waters Tracking Tool (TT) was designed for results based management reporting at a programme level for targets associated with the GEF 3 Replenishment. It was developed and adopted by the GEF International Waters Task Force. The TT allows Agencies and GEFSEC to aggregate individual project results on yearly basis in a quantitative manner to characterize program results.

The simplified TT will not replace the existing PIR/AMR project performance reviews, which agencies conduct annually with their own review forms but will provide an additional, global quantitative picture for results in the GEF 3 IW portfolio.

The simplified TT includes indicators based primarily on targets outlined in the GEF 3 Replenishment. They are marked as core in the TT. In addition, several new indicators are used to capture the progress in important fields of GEF interventions, e.g. inter-ministry coordination and examples of catalytic results where agencies report them. These are all process or stress reduction indicators as described in the GEF International Waters M & E Indicator guidance.

There are similar indicators used for Strategic Priority (SP) 1 and SP 2 projects but they have different benchmarks. SP1 projects represent more mature interventions to support implementation of already adopted Strategic Action Programmes (SAP) and/or regional legal agreements, while the SP 2 foundational projects are aimed at enabling or fostering transboundary cooperation. These two types of projects are expected to provide different quantitative and qualitative results within the same indicator..

The TT template is based on process and partly on stress reduction indicators since a change in environmental/water resources status, the third category of GEF IW M & E indicators, is not envisaged in the lifespan of most projects. If the project by default is not expected to provide results described by certain indicator, then the term N.A. should be used.

Special, customized results frameworks are developed and used for Investment Funds Programmes; individual projects should report within this TT, while separate reporting is expected at the level of Investment Fund.

Rating is subject to self-assessment; zero mark means no results achieved, while mark three represents 100% achievement of projected results. Ratings will be averaged within each GEF 3 IW indicator to provide a portfolio view, not a projectspecific view. This roll-up will allow additional analysis of program and portfolio level results.

Indicators and ratings:

- *Regional Legal Agreement*: Convention or other legal instrument being prepared/adopted in line with international law practice;
- *Transboundary Water Institution*: Either secretariat or other body agreed/established/funded by the countries sharing the transboundary water body;
- *National/Local Reforms Enacted/Implemented*: Within this indicator, using multiple term national/local reform, what was accomplished at appropriate national or local level should be reported, according to project design and expected outcome.
- *On-the-Ground Stress Reduction Results*: Concrete tangible stress reduction (demonstration of or investment in technology or best practice) results should be reported;
- *Functional National Inter-Ministry Committees*: Progress in establishment/functioning of inter-ministry coordination body at national level should be reported;
- *Agreement on Transboundary Priorities and Root Causes (Transboundary Diagnostic Analysis Development and Completion)*: The ratings represent different level of identification and scientific justification of transboundary issues as well as the agreement on those at regional level;
- *Regional Management Organisation Capacitated*: The regional legal agreement might be in affected regions still under development or adoption processes, therefore the level of operability and sustainability of the organisation agreed/established/funded by the countries sharing the transboundary water body should be reported;
- *SAP Approved*: The text for individual ratings is self explanatory;
- *Catalytic results*: Although such an indicator for catalytic results that were not originally planned was not part of the GEF 3 Replenishment document, agencies are encouraged to list such results that they deem to be catalytic.
- *Other*: This additional indicator should provide specific indicator chosen by the agency, which in best way document the progress of the project and its contribution to the GEF 3 results.

