



Global Environment Facility

September 15, 2006

**GEF RESOURCE ALLOCATION FRAMEWORK:
INDICATIVE RESOURCE ALLOCATIONS FOR GEF-4,
FOR THE BIODIVERSITY AND CLIMATE CHANGE FOCAL AREAS**

Background

1. The GEF Resource Allocation Framework (RAF) is a system to allocate resources to countries based on global environmental priorities and country-level performance. The GEF Council agreed at a special meeting convened on August 31 - September 1, 2005, to implement, for the GEF-4 replenishment, the RAF in the biodiversity and climate change focal areas.

Public Disclosure Policy under the GEF Resource Allocation Framework

2. In approving the RAF, the Council also agreed, with respect to disclosure of information, that: (i) allocations for individual countries and the group are to be disclosed; (ii) the GEF Benefits Index (GBI) for all countries is to be disclosed; and (iii) with respect to the data from the World Bank's Country Policy and Institutional Assessment (CPIA) (now renamed the IDA Resource Allocation Index) used in the GEF Performance Index (GPI), a link will be made available from the GEF website to the World Bank website.¹ This document has been prepared to fulfill the disclosure requirements agreed to by the Council.

Indicative Allocations of Resources under the RAF

3. The indicative allocation of resources under the RAF is based on the amount of resources to be made available to the biodiversity and climate change focal areas in the GEF-4 period agreed to as part of the replenishment. The Council reviewed and endorsed the *Summary of Negotiations on the Fourth Replenishment of the GEF Trust Fund* (document GEF/C.29/3) at its meeting on August 28, 2006.

4. Table 1 of the programming paper for the GEF-4 replenishment provides for the targeted distribution of resources among the focal areas based on a replenishment amount of \$3.11 billion (see Annex B of document GEF/C.29/3). The following table shows the targeted distribution of resources among the focal areas taking into account the agreed replenishment amount of \$3.13 billion.² This new figure includes additional pledges made at the third GEF Assembly.

¹ Joint Summary of the Chairs, Special Meeting of the Council August 31-September 1, 2005, Annex I, para 2c.

² The actual amount available for programming and targeted allocations during the GEF-4 period may be higher or lower than the agreed replenishment level and is dependent on many factors, including donors formalizing their pledges to the GEF-4, the actual receipt and value of donor payments to the GEF Trust Fund, and the actual investment income earned during the GEF-4 period.

GEF-4 Targeted Allocation to Focal Areas, Corporate Programs, and Corporate Budget
(Revised to reflect agreed replenishment amount)

GEF Focal Areas and Corporate Program	Targeted Allocations (millions of USD equivalent)	Share of Resources to be Programmed
Biological diversity	1000	33%
Climate change	1000	33%
International waters	355	12%
Land degradation	300	10%
Ozone layer depletion	40	1%
Persistent organic pollutants	300	10%
Corporate Programs	15	1%
<i>Sub-total: Resources Programmed</i>	<i>3010</i>	<i>100%</i>
Corporate budget	120	
TOTAL	3130	

5. Under the RAF, the resources to be made available for the biodiversity and climate change focal areas (\$1,000 million each) are to be allocated to individual countries and a group of remaining countries in each focal area after the following resources have been set aside:
- (a) five percent of the targeted allocation for biodiversity and climate change (\$50 million from each focal area) is to be made available to support the Small Grants Program and cross-cutting capacity building programs and projects, including cross-cutting capacity building programs for LDCs and SIDS;
 - (b) five percent of the targeted allocation for biodiversity and climate change (\$50 million in each focal area) is to be made available to support regional and global projects in the focal area.

GEF Benefits Index (GBI) and Indicative Allocations of Resources

6. The total resources available for allocating to countries and the group after the resources described above are set aside are \$900 million for biodiversity and \$900 million for climate change. Indicative allocations of these resources to countries and the group have been determined using the *Method for Determining Allocations* specified in GEF/C.27/Inf.8/Rev.1, *The GEF Resource Allocation Framework*. This method is based on the GBI for each focal area and the GPI.

7. Annexes 1 and 2 show the GBI and the indicative allocations for all eligible countries in the biodiversity and the climate change focal areas respectively.

8. Countries that are included in the group do not have individual country indicative allocations. Instead, countries in the group can submit projects for financing from the resources available to the group. In the biodiversity focal area, 93 countries are in the

group and may seek project financing from a group allocation of \$146.8 million. Similarly, in the climate change focal area, 115 countries are in the group and may seek project financing from a group allocation of \$148.6 million.

9. Country and group indicative allocations are not entitlements. Such allocations are an indication of the envelope of resources that is available to each country to finance projects that meet GEF's strategic objectives and technical criteria, and approved in accordance with GEF procedures.³ The allocations for each focal area indicate the resources that may be requested by a country to finance single country or multi-country projects in the focal area. Resources from an indicative allocation may also be requested to provide additional funding for the small grants program or for cross-cutting capacity building projects.

CPIA (IDA Resource Allocation Index) data used in the GPI

10. The World Bank has renamed the CPIA as the IDA Resource Allocation Index. The IDA Resource Allocation Index that is used in determining two of the four components of the GPI (the county environmental policy and institutions assessment component and the broad framework indicator component) is available at the following World Bank website:

<http://web.worldbank.org/WBSITE/EXTERNAL/EXTABOUTUS/IDA/0,,contentMDK:20933600~pagePK:51236175~piPK:437394~theSitePK:73154,00.html>

RAF Information on the GEF website

11. The GEF Secretariat has established a dedicated webpage on the GEF website, www.thegef.org/raf.html, on which all RAF-related documents, including the information disclosed in this document, are available.

Country Endorsements of Project Concepts and Proposals

12. Recipient country endorsement of project concept proposals to be provided in accordance with the RAF guidelines⁴ and the GEF project cycle⁵ should be sent to the GEF registry at gcoordination@thegef.org.

³ GEF procedures provide for project cycle management fees for Implementing and Executing Agencies to be included in each project proposal which will be accounted against indicative allocations

⁴ http://www.thegef.org/Operational_Policies/raf/documents/RAFguidelinesforOperationalFocalPointsEnglish.pdf

⁵ http://www.thegef.org/Operational_Policies/eligibility_criteria/eligibility_criteria.html

Annex 1:
GEF Benefits Index and Initial GEF4 Indicative Allocations for Biodiversity

Country	GEF Benefits Index for Biodiversity	Share of GBI	Indicative Allocation (\$ million)
Afghanistan	24.1	0.3%	3.5
Albania	1.3	0.0%	group*
Algeria	19.9	0.3%	3.7
Angola	63.4	0.8%	group*
Antigua and Barbuda	3.0	0.0%	group*
Argentina	122.9	1.6%	14.5
Armenia	1.7	0.0%	group*
Azerbaijan	5.7	0.1%	group*
Bahamas	28.4	0.4%	group*
Bangladesh	10.5	0.1%	group*
Barbados	2.2	0.0%	group*
Belarus	0.1	0.0%	group*
Belize	12.4	0.2%	group*
Benin	1.6	0.0%	group*
Bhutan	7.3	0.1%	group*
Bolivia	91.9	1.2%	11.4
Bosnia-Herzegovina	2.5	0.0%	group*
Botswana	9.9	0.1%	group*
Brazil	663.7	8.7%	63.2
Bulgaria	6.1	0.1%	group*
Burkina Faso	1.9	0.0%	group*
Burundi	3.3	0.0%	group*
Cambodia	25.8	0.3%	group*
Cameroon	88.4	1.2%	11.9
Cape Verde	21.5	0.3%	4.1
Central African Republic	11.0	0.1%	group*
Chad	14.1	0.2%	group*
Chile	107.3	1.4%	15.7
China	430.4	5.6%	44.3
Colombia	380.0	5.0%	36.6
Comoros	14.7	0.2%	group*
Congo, Dem. Rep. (Zaire)	113.0	1.5%	9.6
Congo, Republic of	22.8	0.3%	group*
Cook Islands	12.4	0.2%	group*
Costa Rica	73.6	1.0%	12.0
Côte d'Ivoire	25.7	0.3%	3.6
Croatia	3.6	0.0%	group*
Cuba	89.8	1.2%	14.7

Notes:

Allocations are computed using the Council agreed *Method for Determining Allocations* described in GEF/C.27/Inf.8/Rev.1 using the GBI and GPI for all eligible countries. The CPIA (which has been renamed by the World Bank as the IDA Resource Allocation Index) data used to compute two of the four components of GPI are available at the following World Bank website.
<http://web.worldbank.org/WBSITE/EXTERNAL/EXTABOUTUS/IDA/0,,contentMDK:20933600~pagePK:51236175~piPK:437394~theSitePK:73154,00.html>

* The Group consists of 93 countries with a group allocation of \$146.8 million. A country in the group can access up to \$3.5 million in GEF-4.

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Country	GEF Benefits Index for Biodiversity	Share of GBI	Indicative Allocation (\$ million)
Djibouti	3.6	0.0%	group*
Dominica	7.5	0.1%	group*
Dominican republic	45.0	0.6%	5.8
Ecuador	199.4	2.6%	23.2
Egypt	21.5	0.3%	4.3
El Salvador	5.5	0.1%	group*
Equatorial Guinea	11.2	0.1%	group*
Eritrea	6.0	0.1%	group*
Estonia	0.3	0.0%	group*
Ethiopia	56.7	0.7%	7.7
Fiji	33.2	0.4%	5.1
Gabon	22.8	0.3%	group*
Gambia	0.7	0.0%	group*
Georgia	4.6	0.1%	group*
Ghana	13.0	0.2%	group*
Grenada	4.1	0.1%	group*
Guatemala	58.9	0.8%	8.2
Guinea	17.0	0.2%	group*
Guinea-Bissau	4.6	0.1%	group*
Guyana	21.5	0.3%	group*
Haiti	38.4	0.5%	4.1
Honduras	52.7	0.7%	6.8
Hungary	1.2	0.0%	group*
India	291.2	3.8%	29.6
Indonesia	597.0	7.8%	41.4
Iran	52.2	0.7%	6.7
Jamaica	32.8	0.4%	5.1
Jordan	2.3	0.0%	group*
Kazakhstan	36.1	0.5%	5.5
Kenya	65.9	0.9%	7.9
Kiribati	10.6	0.1%	group*
Korea, North (DPRK)	4.7	0.1%	group*
Korea, south (ROK)	12.2	0.2%	group*
Kyrgyz republic	7.8	0.1%	group*
Laos	35.7	0.5%	5.2
Latvia	0.3	0.0%	group*
Lebanon	1.2	0.0%	group*
Lesotho	2.0	0.0%	group*

Notes:

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Country	GEF Benefits Index for Biodiversity	Share of GBI	Indicative Allocation (\$ million)
Liberia	19.5	0.3%	group*
Libya	11.5	0.2%	group*
Lithuania	0.2	0.0%	group*
Macedonia	1.5	0.0%	group*
Madagascar	208.7	2.7%	24.2
Malawi	26.1	0.3%	4.2
Malaysia	98.5	1.3%	15.2
Maldives	12.1	0.2%	group*
Mali	10.3	0.1%	group*
Marshall Islands	10.8	0.1%	group*
Mauritania	9.5	0.1%	group*
Mauritius	27.9	0.4%	5.6
Mexico	503.1	6.6%	54.6
Micronesia	20.0	0.3%	group*
Moldova	0.1	0.0%	group*
Mongolia	29.5	0.4%	3.8
Morocco	26.5	0.3%	4.3
Mozambique	54.4	0.7%	6.8
Myanmar	70.5	0.9%	group*
Namibia	39.1	0.5%	6.5
Nauru	0.9	0.0%	group*
Nepal	14.9	0.2%	group*
Nicaragua	23.7	0.3%	4.0
Niger	6.0	0.1%	group*
Nigeria	43.6	0.6%	5.6
Niue	2.8	0.0%	group*
Pakistan	33.6	0.4%	5.1
Palau, Republic of	9.7	0.1%	group*
Panama	78.0	1.0%	11.2
Papua New Guinea	183.7	2.4%	12.5
Paraguay	22.2	0.3%	group*
Peru	241.0	3.2%	25.3
Philippines	224.0	2.9%	21.3
Poland	3.8	0.0%	group*
Romania	4.3	0.1%	group*
Russia	246.4	3.2%	25.3
Rwanda	7.0	0.1%	group*

Notes:

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Country	GEF Benefits Index for Biodiversity	Share of GBI	Indicative Allocation (\$ million)
Samoa	12.8	0.2%	group*
Sao Tome and Principe	19.6	0.3%	group*
Senegal	8.5	0.1%	group*
Serbia and Montenegro	1.2	0.0%	group*
Seychelles	31.2	0.4%	5.3
Sierra Leone	10.1	0.1%	group*
Slovak Republic	0.8	0.0%	group*
Solomon islands	29.6	0.4%	group*
South Africa	156.1	2.0%	22.5
Sri Lanka	43.9	0.6%	6.4
St. Kitts and Nevis	0.8	0.0%	group*
St. Lucia	6.5	0.1%	group*
St. Vincent and the Grenadines	7.3	0.1%	group*
Sudan	36.4	0.5%	4.3
Suriname	20.2	0.3%	group*
Swaziland	0.9	0.0%	group*
Syria	6.2	0.1%	group*
Tajikistan	4.9	0.1%	group*
Tanzania	100.4	1.3%	12.8
Thailand	53.0	0.7%	9.2
Togo	2.5	0.0%	group*
Tonga	3.9	0.1%	group*
Trinidad and Tobago	16.0	0.2%	group*
Tunisia	3.5	0.0%	group*
Turkey	39.6	0.5%	6.1
Turkmenistan	13.0	0.2%	group*
Tuvalu	2.1	0.0%	group*
Uganda	22.1	0.3%	4.0
Ukraine	2.7	0.0%	group*
Uruguay	9.5	0.1%	group*
Uzbekistan	7.9	0.1%	group*
Vanuatu	14.4	0.2%	group*
Venezuela	178.2	2.3%	16.7
Vietnam	77.4	1.0%	10.2
Yemen	22.3	0.3%	group*
Zambia	33.4	0.4%	5.1
Zimbabwe	13.7	0.2%	group*

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Annex 2:
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Country	GEF Benefits Index for Climate Change	Share of GBI	Indicative Allocation (\$ million)
Afghanistan	5895	0.1%	group*
Albania	1451	0.0%	group*
Algeria	35755	0.5%	7.6
Angola	9198	0.1%	group*
Antigua and Barbuda	337	0.0%	group*
Argentina	79637	1.1%	13.3
Armenia	7324	0.1%	group*
Azerbaijan	20765	0.3%	4.1
Bahamas, The	601	0.0%	group*
Bahrain	4911	0.1%	group*
Bangladesh	38820	0.6%	6.7
Barbados	413	0.0%	group*
Belarus	42479	0.6%	7.9
Belize	308	0.0%	group*
Benin	2252	0.0%	group*
Bhutan	758	0.0%	group*
Bolivia	12941	0.2%	3.1
Bosnia and Herzegovina	4591	0.1%	group*
Botswana	6229	0.1%	group*
Brazil	252582	3.6%	38.1
Bulgaria	31601	0.5%	8.5
Burkina Faso	7279	0.1%	group*
Burundi	666	0.0%	group*
Cambodia	18733	0.3%	3.3
Cameroon	8311	0.1%	group*
Cape Verde	168	0.0%	group*
Central African Republic	3562	0.1%	group*
Chad	5339	0.1%	group*
Chile	23422	0.3%	6.1
China	2413021	34.6%	150.0
Colombia	46331	0.7%	8.9
Comoros	102	0.0%	group*
Congo, Dem. Rep.	9874	0.1%	group*
Congo, Rep.	1451	0.0%	group*
Cook Islands	8	0.0%	group*
Costa Rica	5098	0.1%	group*
Cote d'Ivoire	4238	0.1%	group*
Croatia	8263	0.1%	group*

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Country	GEF Benefits Index for Climate Change	Share of GBI	Indicative Allocation (\$ million)
Cuba	13672	0.2%	4.2
Cyprus	2378	0.0%	group*
Djibouti	417	0.0%	group*
Dominica	39	0.0%	group*
Dominican Republic	9774	0.1%	group*
Ecuador	10592	0.2%	group*
Egypt, Arab Rep.	53139	0.8%	11.5
El Salvador	3235	0.0%	group*
Equatorial Guinea	1113	0.0%	group*
Eritrea	1640	0.0%	group*
Estonia	11958	0.2%	group*
Ethiopia	23015	0.3%	4.9
Fiji	796	0.0%	group*
Gabon	3463	0.0%	group*
Gambia, The	455	0.0%	group*
Georgia	7958	0.1%	group*
Ghana	5289	0.1%	group*
Grenada	61	0.0%	group*
Guatemala	5977	0.1%	group*
Guinea	3216	0.0%	group*
Guinea-Bissau	469	0.0%	group*
Guyana	1046	0.0%	group*
Haiti	1571	0.0%	group*
Honduras	3983	0.1%	group*
Hungary	29767	0.4%	8.2
India	666676	9.5%	74.9
Indonesia	133353	1.9%	16.3
Iran, Islamic Rep.	116687	1.7%	16.5
Israel	20004	0.3%	group*
Jamaica	3024	0.0%	group*
Jordan	7767	0.1%	group*
Kazakhstan	79903	1.1%	13.5
Kenya	16626	0.2%	3.4
Kiribati	19	0.0%	group*
Korea, Dem. Rep.	30295	0.4%	6.4
Korea, Rep.	145958	2.1%	group*
Kuwait	16944	0.2%	group*
Kyrgyz Republic	3906	0.1%	group*

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Lao PDR	2905	0.0%	group*
Latvia	8403	0.1%	3.2
Lebanon	3763	0.1%	group*
Lesotho	985	0.0%	group*
Liberia	1504	0.0%	group*
Libya	17072	0.2%	group*
Lithuania	9280	0.1%	3.4
Macedonia, FYR	3476	0.0%	group*
Madagascar	8834	0.1%	group*
Malawi	2150	0.0%	group*
Malaysia	49014	0.7%	11.3
Maldives	157	0.0%	group*
Mali	9667	0.1%	group*
Malta	803	0.0%	group*
Marshall Islands	-	0.0%	group*
Mauritania	5303	0.1%	group*
Mauritius	1142	0.0%	group*
Mexico	158525	2.3%	28.3
Micronesia, Fed. Sts.	-	0.0%	group*
Moldova	5197	0.1%	group*
Mongolia	6733	0.1%	group*
Morocco	16250	0.2%	3.8
Mozambique	7863	0.1%	group*
Myanmar	22578	0.3%	group*
Namibia	3534	0.1%	group*
Naru	41	0.0%	group*
Nepal	10778	0.2%	group*
Nicaragua	4159	0.1%	group*
Niger	3826	0.1%	group*
Nigeria	59109	0.8%	9.3
Niue	-	0.0%	group*
Oman	7025	0.1%	group*
Pakistan	78573	1.1%	13.2
Palau	80	0.0%	group*
Panama	3219	0.0%	group*
Papua New Guinea	2451	0.0%	group*
Paraguay	7582	0.1%	group*
Peru	20595	0.3%	4.6

Notes:

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Country	GEF Benefits Index for Climate Change	Share of GBI	Indicative Allocation (\$ million)
Philippines	37221	0.5%	6.6
Poland	188535	2.7%	38.1
Qatar	10831	0.2%	group*
Romania	61227	0.9%	13.9
Russian Federation	659602	9.4%	72.5
Rwanda	1404	0.0%	group*
Samoa	151	0.0%	group*
San Marino	-	0.0%	group*
Sao Tome and Principe	38	0.0%	group*
Saudi Arabia	75773	1.1%	group*
Senegal	5572	0.1%	group*
Serbia and Montenegro	16846	0.2%	group*
Seychelles	80	0.0%	group*
Sierra Leone	1080	0.0%	group*
Singapore	20405	0.3%	group*
Slovak Republic	20441	0.3%	5.7
Solomon Islands	93	0.0%	group*
South Africa	120649	1.7%	23.9
Sri Lanka	7419	0.1%	group*
St. Kitts and Nevis	42	0.0%	group*
St. Lucia	81	0.0%	group*
St. Vincent and the Grenadines	44	0.0%	group*
Sudan	37913	0.5%	5.7
Suriname	955	0.0%	group*
Swaziland	869	0.0%	group*
Syrian Arab Republic	20567	0.3%	4.9
Tajikistan	4707	0.1%	group*
Tanzania	20835	0.3%	4.8
Thailand	67952	1.0%	14.7
Togo	1623	0.0%	group*
Tonga	72	0.0%	group*
Trinidad and Tobago	7835	0.1%	group*
Tunisia	9649	0.1%	group*
Turkey	105462	1.5%	17.5
Turkmenistan	17136	0.2%	group*
Tuvalu	-	0.0%	group*
Uganda	11336	0.2%	3.1
Ukraine	137914	2.0%	18.9

Notes:

Allocations are computed using the Council agreed *Method for Determining Allocations* described in GEF/C.27/Inf.8/Rev.1 using the GBI and GPI for all eligible countries. The CPIA (which has been renamed by the World Bank as the IDA Resource Allocation Index) data used to compute two of the four components of GPI are available at the following World Bank website.
<http://web.worldbank.org/WBSITE/EXTERNAL/EXTABOUTUS/IDA/0,,contentMDK:20933600~pagePK:51236175~piPK:437394~theSitePK:73154.00.html>

* The Group consists of 115 countries with a group allocation of \$148.6 million. A country in the group can access up to \$3.1 million in GEF-4.

Annex 2:
GEF Benefits Index and Initial GEF4 Indicative Allocations for Climate Change

Country	GEF Benefits Index for Climate Change	Share of GBI	Indicative Allocation (\$ million)
United Arab Emirates	27763	0.4%	group*
Uruguay	6438	0.1%	group*
Uzbekistan	53866	0.8%	9.3
Vanuatu	213	0.0%	group*
Venezuela, RB	57328	0.8%	8.8
Vietnam	44131	0.6%	8.5
Yemen, Rep.	8947	0.1%	group*
Zambia	5622	0.1%	group*
Zimbabwe	11725	0.2%	group*

Notes:

Allocations are computed using the Council agreed *Method for Determining Allocations* described in GEF/C.27/Inf.8/Rev.1 using the GBI and GPI for all eligible countries. The CPIA (which has been renamed by the World Bank as the IDA Resource Allocation Index) data used to compute two of the four components of GPI are available at the following World Bank website.

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* The Group consists of 115 countries with a group allocation of \$148.6 million. A country in the group can access up to \$3.1 million in GEF-4.