

GEF NGO NETWORK SIDE EVENT

PANEL DISCUSSION: ENHANCING PARTNERSHIPS THROUGH NGO ENGAGEMENT

The side event was moderated by András Krolopp, Central East European Working Group for the Enhancement of Biodiversity, and showcased successful work undertaken by NGOs.

Achieving Sustainable Livelihoods through Sustainable Local Governance – Samoa, Matafa Conservation Area, Fiji

Fiu Mata'ese Elisara-Laulu, Executive Director, Siosiomanga Society, outlined a project funded by the GEF SGP in the Matafa'a village, Samoa, which seeks to generate sustainable livelihoods through the establishment of a conservation area. He highlighted partnerships with UNDP, the University of the South Pacific and tourism operators, while noting the increasing competition between the government and NGOs over GEF resources.

Fiu stated that the rationale of the project was to enable the village of Matafa and the community residents of this remote village achieve sustainable livelihoods, from the sustainable management and conservation of their pristine biodiversity resources and mangrove areas in the Matafa Conservation Area.

Providing a brief description of the project he emphasized that the key aim *was to* establish a management committee (MC) in the Matafa Village and mangrove conservation area; achieve measurable poverty alleviation and biodiversity conservation at the Matafa village and conservation area; to build management capacity of the resource owners and MC and enhance their understanding of the biodiversity resources attributes of the conservation area in Matafa for the sustainable livelihood of the Matafa villagers and for replication to other villages around Samoa; and to further develop several conservation projects identified through the case studies.

Fiu explained that OLSSI was engaged to plan, coordinate, and help implement activities of this project. Their terms of reference were specifically to set up a village agreement to ensure equitable benefit sharing of the returns from this village project.

Fiu commented that special emphasis was made in ensuring that the natural direct people of Matafaa village itself were the major beneficiaries of the environment and natural resources of the Matafaa and neighboring villages.

Fiu questioned whether this was an NGO project or a UNDP project. Fiu concluded that the combination of the views of the Matafa village on the project implementation complemented by the role of OLSSI in the project development and management resulted in the significant outcomes of the project to date.

"Continental Model", Peace Parks a tool to conserve the biodiversity. Case studies: SADC TFCAs – Peace Parks Foundation, South Africa

Craig Beech, Peace Parks Foundation, presented spatial information tools to map and monitor the ecological footprint in transfrontier conservation areas in Southern Africa, noting efforts to train local people to manage these areas.

Craig explained that tourism corridors used to link the region. That is why they decided to introduce spatial monitoring and reporting and an adaptive management process. As an example, Peace Parks has undertaken participatory mapping of the Zambezi Basin.

San Hoodia ABS Agreements - Kalahari Desert, Southern Africa

Mathambo Ngakaeaja, Working Group of Indigenous Minorities in Southern Africa, provided a brief history of negotiations undertaken by the San Bushmen to protect their traditional knowledge via the establishment of access and benefit-sharing agreements. He explained that successful access and benefit-sharing negotiations require: involving all stakeholders; establishing equal relationships between parties; capacity building; and the spirit of compromise.

Hoodia is an example of a success story. Mathambo outlined issues of Access and Benefit Sharing (ABS) as they relate San to Hoodia and to success of partnerships on issues on sustainable use of bio-resources. He explained that the Council for Scientific and Industrial Research (CSIR) worked on a patent which resulted in an ABS agreement with CSIR in 1992. The patent outlined benefits, royalties and milestone payments. An association in South Africa was awarded 2% of the profits. There were politics at the national level with Namibia not recognizing the patent. There were politics among IPs nationally and regionally, and a reluctance among parastatals and the private sector to enter into the ABS agreement specifically pointing to the adverse interest on Hoodia generated by commercialization.

Mathambo stressed the importance of equitable partnerships within the whole process. He continued to explain that although ABS negotiations are lengthy, complex and required compromise there was no equity in the relationship from the beginning. Bio-piracy is common and the need or enactment of local legislation to protect ABS is vital, but the spectrum of stakeholders can be large. Within the international ABS regime harmonization is definitely necessary. In this regard NGO support and capacity building for IPs is crucial for equitable partnerships. Another important element is certification and the need to control trade in bio-products. IPs are not experts in law but know that the government is working on certification issues and that the certificates are issued by the Department of Agriculture.

Mathambo told the participants that UNEP GEF office in Nairobi had extended their interest in funding the IPs. Mathambo welcomed the opportunity within an initiative such as this side event that informs others and the GEF.

Partnership in Action, A Case of the Government of Mexico and the Small Grants Programme – Mexico

Raúl Murguía, UNDP, explained that the SGP is intended to conserve natural resources and increase the quality of life in communities, and that Mexico is using the programme to develop a Mesoamerican organic honey certification scheme.

Raul related that to date 95 countries are participating in SGP within 81 country offices. The SGP grant ranged from USD 5,000 to 500,000 and is targeted to conserve natural resources and increase the quality of life of inhabitants. For instance in Yucatan, in1994 there were 345 projects and 87 NGOs ranging from organic apiculture, sustainable forestry, tourism and sustainable aquiculture. Catalyzed by the impact of the SGP a Peninsular Fund with three lines of has been created. The aim of the fund was to conserve at least 40,000 hectares of Meso-America through apiculture activities funded by SGP in Mexico and other countries.

Raul mentioned that Mesoamerica has many organic honey certification projects but there is a dire need to develop market channels, networks and certification.

Mainstreaming Indigenous Communities in the Legal System - Mexico

Gustavo Alanis Ortega, Mexican Centre of Environmental Law, detailed legal instruments available to Mexicans for challenging the government or private entities that harm the environment. To ensure a healthy environment, he advocated: strong laws; effective institutions; an informed citizenry; and organizations with the courage to use the law.

Gustavo highlighted that in general people are afraid of lawyers and this can be a great impediment. However, he continued to state that there was a paradigm shift in this mindset when the concept of using the law to protect the environment was introduced in 1993. Although Mexico had good environmental laws enforcement was poor. At present there are 22 lawyers, 80s NGOs have taken issues forward through meetings, mass media action and collective letters. Presently these manifestations are accompanied by legal actions that citizens use to strengthen their proceedings.

There exists a citizen's complement whereby ANY person or social organization can file a complaint before the Agency for the Protection of the Environment (PROFEPA) when an act or an omission causes ecological harm, environmental injury or violates environmental regulations. Citizens can call into this agency to alert them on what is happening on the ground and can keep their identity a secret.

Criminal complaints can be filed under the Fed Law of Ecological Equilibrium and Protection for the Environment (LGEEPA) within the criminal code either at federal or state level. For instance, a PEMES official went to jail for his offences.

There are administrative legal resources but these are not very good but must, however, be exhausted. There are various laws that have been enacted, for instance the New Federal Law of Transparency and Access to Governmental Public Information which gives the right to access to public information and encourages a participatory process such as public consultation in the environmental impact evaluation process. If the project has the capacity to

impact on people, the people have the opportunity to call for a public consultation. Other avenues include civil trial, appeal for legal protection (SC under Article 4 of the constitution), letters directed to the congress and international community under NAFTA 14.

There are strong laws, effective institutions, informed citizens, mainstreamed IPs and groups resulting in an organization that has the strength and courage to use legal means and can effectively enforce the law.

Climate Change "Think Global Act Local" - ENDA, Senegal

Libasse Ba, Environment Development Action in the Third World, described a programme, being undertaken in Senegal, Mali, Guinea Bissau, Tanzania, India and Nepal, which: aims to reduce carbon emissions from deforestation; takes a participatory approach; raises awareness; and builds capacity in communities.

Libasse gave a comprehensive background of the project's origins and the implementation process starting with the identification of local communities at inception. These communities were also involved in the research for this project. The project works directly with the communities and is currently training them in Geographic Information Systems (GIS). This was being done at a cost of \$5 per hectare which he expected to go down.

For more information on the project, Libasse referred participants to the following website; www.communitycarbonforestry.





Objective and expected outcomes (Portfolio Level)

To strengthen capacity development and mainstreaming into national development strategies and policies while improving the quality of project design, implementation, outputs & impact; and through ensuring broad-based political and participatory support for the process

Focus on:

- Cost-effective and timely delivery of GEF resources to 47 LDCs and SIDS
- Enhancement of individual and institutional capacities for SLM at the national level
- Systemic capacity building and mainstreaming of SLM principles into development planning
- Enhanced technical suppor

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Goal of country projects Contribute to mitigation of land degradation, in particular through capacity development and mainstreaming of sustainable land management

Objective and expected outcomes of country projects

To strengthen the enabling environment for sustainable land management while ensuring broad-based political and participatory support for the process, through the following expected generic outcomes:

- domestic capacity development (national and local level);
- mainstreaming NAP/SLM into national development strategies and policies; and
- Completion of the NAP
- investment planning and resource mobilization for follow-up implementation of SLM

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Linking the MSP and the NAP The NAP is an outcome under the country MSP GEF funding can only indirectly benefit NAP preparation (e.g. Land degradation stocktaking) but can not be used to produce the actual NAP However, nearly all countries have co-funding available for NAP preparation (such as from GM, UNDP, etc.). Many participating countries have initiated the production of their NAPs: the MSPs design/implementation phase informs this process (and vice-versa) The MSP design/implementation phase is also expected to generate relevant information to update the NAP

Common barriers to SLM



- Economic growth policies often contradict long-term environmental concerns; e.g. unsustainable land use practices leading to accelerated erosion, increased deforestation, etc.
- Development plans maximize sectoral benefits, often at the cost of other sectors; e.g. mono-cultures
- Land use planning is driven by sectoral policies rather than addressing trade offs between sectors
- Development frameworks are usually not based on what the land can actually sustain and lack an integrated approach
- Information base is usually weak non-verified

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■ Lack of capacity for SLM



- Individual = local land users lack access to innovations; expertise is limited; new tools are not disseminated
- Institutional = sectoral divisions, overlapping mandates, under-funding, weak extension services
- Systemic = policy disincentives; legal constraints (especially land tenure)
- Lack of mainstreaming of LD concerns into national development planning
- Land tenure

Country examples: Mauritius

- Only 2% of its original forest remains, much of it has a dense undergrowth of invasive exotics
- LD caused by three main factors: deforestation, unsustainable agriculture and recurring wildfires on grass covered mountain slopes.
- Data on forest cover or deforestation are lacking or totally outdated
- Unsustainable agriculture is mainly found on land used other than for sugarcane
- On the island of Rodrigues overgrazing is another factor contributing to soil erosion
- There are considerable differences in land tenure between the islands of Mauritius (mainly privately owned) and the island of Rodrigues (nearly all State-owned)
- The Govt. Of Mauritius initiated various activities and formulated a number of policy frameworks related to land (use) planning and management. Unfortunately for the latter the responsibilities lie with various agencies each working under their own respective legal and institutional frameworks

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Country examples: East Timor

- Land degradation mainly due to deforestation, unsustainable agricultural practices and recurring wildfires on grass-covered mountain slopes
- Compounded effects due to demographic pressure, poverty, illegal tree felling, shifting cultivation and overgrazing
- Widespread destruction of forest ecosystems due to indiscriminate felling prior to independence
- Resulting erosion notably on sloping and steep lands reduced the soil layer, exposed bedrock and removed valuable topsoil (loss of soil fertility widespread), loss of biodiversity mainly due to habitat loss
- Data on degradation of agricultural lands and its economic impact is scarce
- Clear link between poverty and LD
- LD seen as a major obstacle to Govt. to effectively alleviate poverty
- Lack of clarity about land tenure issues

Country examples: Samoa

- Land Degradation is a priority issue for Samoa
- Unsustainable agriculture and deforestation are the main contributing factors to land degradation
- Appropriate land use (ie., for the land to support a particular type of land use on a sustainable basis) is seen as a key to sustainable development
- Where rural communities are farming land is primarily under customary ownership
- Rapidly increasing land pressure, particularly in periurban areas due to rural-urban migration
- Deforestation pronounced in lowland areas but rapidly expanding within the rainforest areas, leading to loss of biodiversity, reduced river and stream flow and raises concern about adequate fresh water supplies
- The country is particularly vulnerable to natural disasters the effects of which are compounded by the ongoing LD.

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Some issues faced by LDCs/SIDS during the design of country MSPs

- Constraints in terms of staff availability, staff capacity and time constraints
- 2. Unfamiliarity with regard to UNDP/GEF requirements for project design
- 3. Constraints in terms of lack of political support or prioritization
- Constraints in terms of finding adequate cofinancing
- 5. Lack of basic information

Constraints in terms of staff availability, capacity and time constraints

- Staff numbers dealing with LD issues are commonly very limited (notably in SIDS)
- Staff are usually not skilled in project design (according to international standards)
- Staff is often overwhelmed by what is asked from them
- Local consultants are often not familiar with the UNDP-GEF requirements for project design
- Limited staff is dealing with both national and international demands causing delays in delivery

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Unfamiliarity with regard to UNDP/GEF requirements for project design

- Applies to both National staff as well as (national) consultants
- Compliance with templates appears often problematic (parts missing, or inadequate information provided)
- LFM often not well understood
- Consistency is often lacking

Constraints in terms of lack of political support or prioritization

- ◆ Lack of understanding/support for the initiative, and
- Other national or international obligations may be considered more pressing/ important

As a consequence the initiative gets low priority

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Constraints in finding adequate co-financing



- Misconception that co-financing needs to be in cash
- Donors' turnover time to determine if co-funding can be committed
- Donors may feel that they loose "the credits" when "their" project is put forward as co-funding
- For support in "cash" donors usually want to review the final MSP before committing themselves (additional delays)

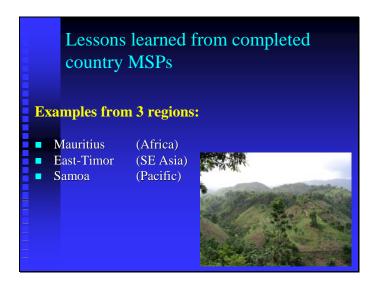
Lack of basic information Most countries lack adequate and basic information affecting project design In most countries the extend of the problem is unknown (neither quantified nor qualified)

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PROJECT IMPLEMENTATION STATUS (Aug 06) Since the signing of the Implementation Agreement for the Portfolio Project between UNDP and UNOPS on 18 Nov. 2004: PACIFIC (13 countries): ■ All countries had their PDFAs approved and are drafting or finalizing their MSPs except for Samoa and Niue: their MSPs were recently cleared by GEFSec. SE+S ASIA (7 countries): ■ All countries had their PDFAs approved and are drafting or finalizing their MSPs. East-Timor had its MSP officially

cleared by GEFSec in May 2006.

PROJECT IMPLEMENTATION STATUS (cont'd) CARIBBEAN (13 countries): All countries had their PDFAs approved and are drafting or finalizing their MSPs. AFRICA (14 countries): All countries (except Comores) had their PDFAs approved and are drafting or finalizing their MSPs Mauritius was the first country to have its MSP cleared by GEFSec (mid 2005).





An Overview of the San Hoodia ABS Agreement



Mathambo Ngakaeaja.

GFF General Assembly. Capetown

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Orientation

Some Demography

- 100 to 120,000 San with click languages in Southern Africa.
- WIMSA, SASI and SASC: San organisations which supported the San through ABS negotiations.

The Hoodia

- · Dessert succulent.
- Thirst and hunger suppressant due to P57.
- Used by San hunters and gatherers.
- No commercial product on market yet based on P57 patent of CSIR.



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Background

- CSIR started work on the patent in 1964.
- Patent Went through in 1996.
- WIMSA learns about the patent through though news paper in 1997.
- 1998, ABS Negotiations start.
- 2002: ABS agreement is signed by SASC

- The ABS Agreements
- 1. CSIR
 - Royalties (2 payments so far)
 - Milestone (pending)
- 2. Hoodia Growers Association (RSA).
 - Royalties from proceeds on Hoodia sales

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Some Dynamics

- The battle between the various indigenous peoples' groups and other local community groups.
- The negotiations among the San themselves.
- The reluctance of the parastatal and the corporate world to enter in to ABS.
- The immense interest suddenly generated by; research institutes, media, governments, the corporate, local communities and individuals.
- Challenging to prioritise and balance the immense interest.

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lessons on ABS from the San

- Equitable Partnerships are crucial for meaningful and effective ABS agreements.
- ABS agreements are reactionary in form. This Puts TK holders in a difficult negotiation position
- Benefit sharing discussions locally tend to be resolved through compromise by the locals.
- Value addition to TK can pose biodiversity challenges due to high demand of the biodiversity resource.
- NGO support is important.

- ABS Agreement negotiations may be complex and lengthy.
- Domestic legislation on CBD enhances ABS efficiency. User countries need domestic legislation to regulate trade on bio-products. eg Hoodia sales in Europe and USA.
- Spectrum of stake holders can be large.
- International ABS Regime and Harmonization necessary.
- Capacity building is inevitable for Indigenous Peoples' and Local Peoples to negotiate as equal partners.













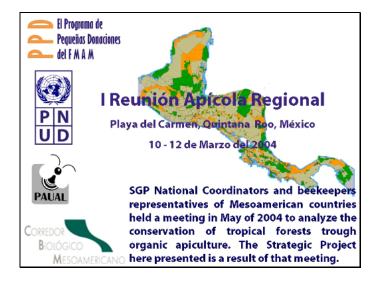




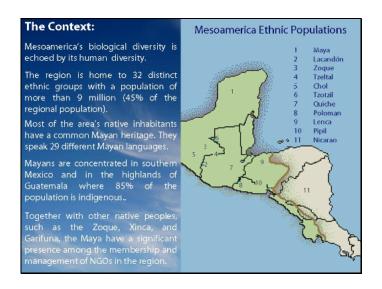




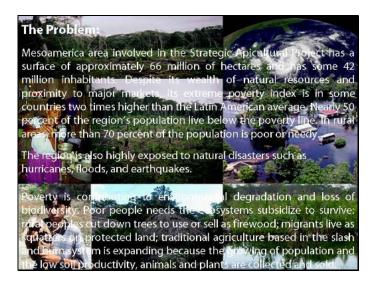














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Project Focal Areas:

Organic apicultural projects require the conservation of the vegetal cover, are immediately linked with the conservation of Biological Diversity.

Apiculture stops the growing of the agricultural and cattle raising grass land border and reduce the use of fire, contributing to reduce the Global Warming decreasing the green house gases emission to the atmosphere.

Strategic Priorities

The project is corresponding with the following GEF Biological Diversity Strategic Priorities:

- 1 Strengthening conservation, management and sustainable apicultural use of tropical forest ecosystems, which have been identified by the national governments as important for its high diversity, large numbers of endemic or threatened species, or wilderness.
- 2 Capacity-building in NGOs and CBOs, and institutional development, to participate in the conservation of the tropical forest biological diversity trough its sustainable apicultural use.
- 3 The organic apiculture promotes the sustainability of project benefits; that offer a potential contribution to experience in the conservation of biological diversity and sustainable use of its components which may have application in any tropical forest.
- 4 Organic apiculture projects really strengthen the involvement of local and indigenous people in the conservation of the tropical forest biological diversity.
- 5 Organic apiculture projects also are integrating the social dimensions and are an excellent tool to reduce rural poverty.



Project Characteristics:

Organic honey production is a good forest conservation indicator. Each certified apiary implies the existence of at least 63,03 hectares of well preserved forest.

Organic beekeeping allows the incorporation of rural population, principally the Maya indigenous people to a different process, where a new relation between nature and society is developed.

Establish an SGP common Mesoamerican agenda and work plan of work for the conservation of the tropical forest through the organic beekeeping.

Increase the number of apiarles and beehives by apiary to increase both forest protection and beakeepers income.

Establish a Mesoamerican commercial platform, for honey and other apicultural products, to have better condition approaching the European Fair Market.

Country political platforms to influence national and local policies of promotion for the organic apicultural production

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Project Results:

Conservation of at least 40,000 hectages of Mesoamerica tropical forests, through the consolidation of the apicultural activities financed by SGP in Mexico and expand this practice to the other SGP Mesoamerican countries.

Increased doubly the yearly become of about 1,600 people who are now under the poverty line and principally indigenous.

A trademark international register for organic honey produced by the SGP financed organizations

A Mesoamerican Organic Honey Trade Social Enterprise

A Mesoamerican Network of organic honey producers



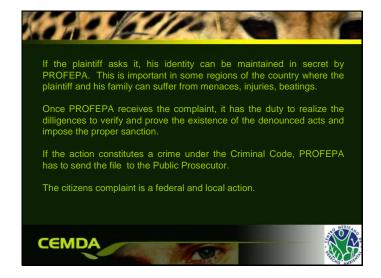


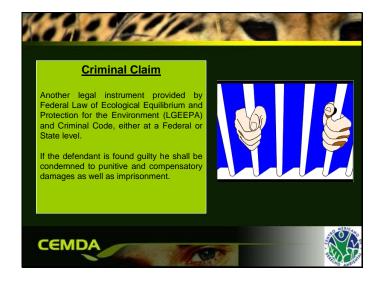


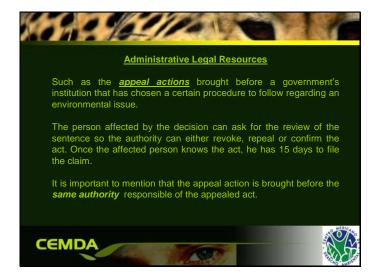








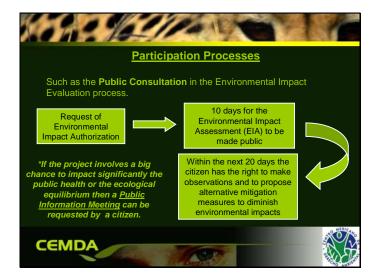




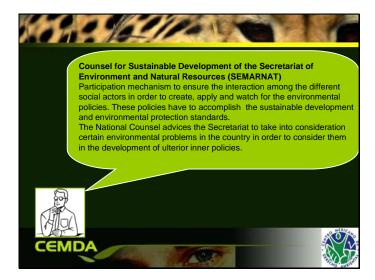
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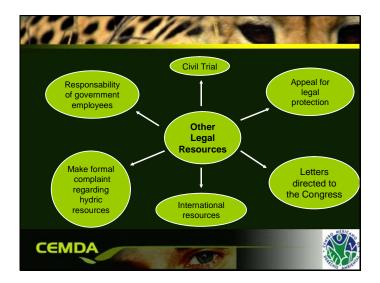


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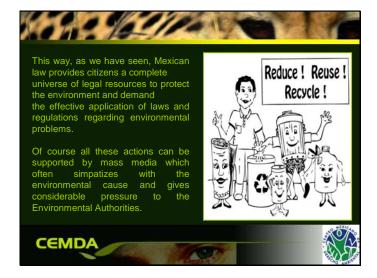
















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Objectives: the GEF-NGO Network Forum/the KTGAL project

- TOR
 - Making available the existing information to local actors and communities
 - Develop networks of research centers, Universities, Private sector and local communities



Objectives of the project:

- Reduced carbon emissions (from tropical deforestation and degradation)
- Poverty reduction and livelihood support (from payments for carbon credits for emissions reduced)
- Improved forest management providing a variety of environmental and social benefits
- · Develop a partnership
 - Contribute to the design of CFM/CDM activities
 - Integrate local communities concerns
 - Help the monitoring of CFM/CDM activities





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Policy objectives of the research

- Raise awareness and interest among developing country governments and NGOs in forest management as a Kyoto strategy
- Lobby for inclusion of forest management (specifically, CBFM) for carbon abatement, in future climate agreements



Methodology:

- Field visits in the local communities
- Participatory approach
- Identification of key discussion topics with local communities
- Lobbying

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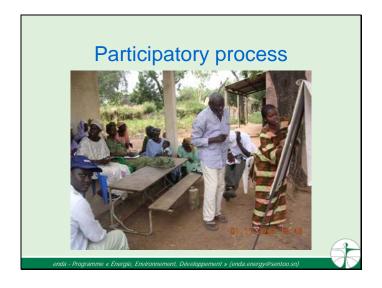
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Partners in the research

- TSD research leadership and management
- ITC technical and conceptual support
- 3 regional partners managing fieldwork
 - ENDA in West Africa.
 - Univ. of Dar-es-Salaam in East Africa
 - ICIMOD in Himalayan area
- Various local field agencies in Senegal, Mali, G.Bissau, India, Nepal, Tanzania and Uganda
 - in sites where community forest management is already in place
- Junior researchers as linking element







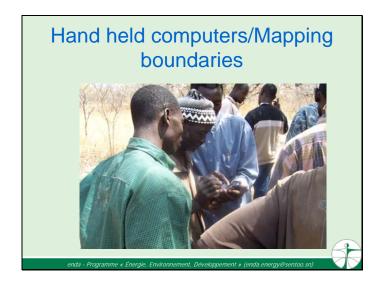
Research questions

- Does community based forest management result in reduced rates of deforestation and/or degradation, with associated reductions in carbon emission? (How much?)
- Can carbon levels and stock changes be monitored by local people as partners?
- What is the relative cost and accuracy of this compared to same work by 'experts'?

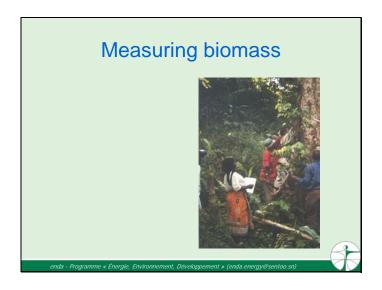
Social impacts

- What are the opportunity costs?
- Under what conditions does the carbon premium make economic sense? What is the pay-off?
- Who will get the benefits within the community - Will it increase or decrease rich/poor gaps?
- What is the impact of managing forest for carbon, on other forest products and values
- What are the impacts on sustainable development in the broader (social) sense

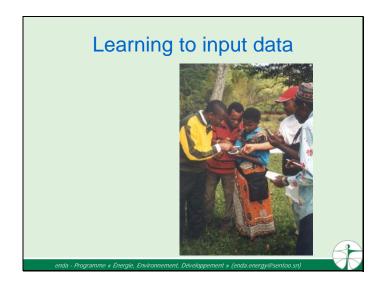
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Field results

- · No problems mapping boundaries
- No problem carrying out standard forestry biomass surveys and recording
- Costs: about 50% of professional cost in first year (will be less later)
- Cost now \$5 per ha but should drop to \$1 − 2
- Rate of carbon accumulation (India):
 3 tons/ha/year (based on local allometric equations)
- Partnership

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Strengh

- The partnership:
 - Possibilities to widen the experience in countries
 - Possibility of exchanging results and experiences with other members of project
 - Enrichment from climate talks
 - Proximity with rural areas
- Research



Weakness

- Valorizations of experience in CFM in the countries.
- Tools (GPS/IPAQ) used are still in experimentation.
- The added value of the project about taking into account their livelihood concerns (Africa: energy needs, education, health).
- Expectations of population of local communities (other activities) according to the long lasting process.
- Negotiations with country partners takes too much time.





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Immediate next steps

- · Continue field data collection
- Analysis of carbon results in terms of management strategies and ecological circumstances (see database)
- Management in terms of: silvicultural operations ('active management'); conservation rules ('passive'); and technological (charcoal kilns, improved stoves etc)
- Analysis of opportunity costs using control areas
- Contribution to international policy debate





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