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Global Environment Facility

April 16, 2009

IMPLEMENTATION OF THE POZNAN STRATEGIC PROGRAM
ON TECHNOLOGY TRANSFER:
AN INTERIM REPORT OF THE GEF TO THE SUBSIDIARY
BODY FOR IMPLEMENTATION AT ITS THIRTIETH SESSION

1. The Conference of the Parties to the United Nations Framework Convention on Climate Change at its fourteenth session (COP 14) welcomed the Global Environment Facility's (renaming it the Poznan) Strategic Program on Technology Transfer as a step toward scaling up the level of investment in the transfer of environmentally sound technologies to developing countries while recognizing the contribution that this program could make to enhancing technology transfer activities under the Convention.
2. The COP 14 decision on the development and transfer of technologies requested the GEF to report on the progress made in carrying out the following activities to the COP at its sixteenth session (COP 16) and to provide interim reports to the Subsidiary Body for Implementation at its thirtieth and thirty-first sessions (SBI 30 and SBI 31):
 - (a) To promptly initiate and expeditiously facilitate the preparation of projects for approval and implementation under the strategic program;
 - (b) To collaborate with the GEF agencies in order to provide technical support to developing countries in preparing or updating their technology needs assessments; and
 - (c) To consider the long-term implementation of the strategic program.
3. The present report provides an update to SBI 30 on GEF progress as of April 15, 2009 in implementing the Poznan Strategic Program on Technology Transfer.
4. The strategic program on technology transfer consists of three funding windows: (1) technology needs assessments (TNAs); (2) technology transfer pilot projects; and (3) dissemination of technologies and practices. This report focuses on the first two substantive activities of the strategic program. In addition, the report discusses how the GEF is considering the long-term implementation of the strategic program.

Technology Needs Assessments

5. Immediately following COP14, the GEF Secretariat organized a stakeholder consultation meeting in January 2009 in Washington, DC to formulate a strategy and work plan for the implementation of the TNAs. UNEP and UNDP were invited to present the status of the existing TNAs funded by the GEF and to discuss the prior experience and lessons learned. UNEP outlined a strategy for the implementation of the new round of TNAs. Participants at the meeting, including representatives from the GEF agencies, the GEF Scientific and Technical Advisory Panel (STAP), the UNFCCC Secretariat, and the Climate Technology Initiative, provided constructive comments and suggestions.
6. With the facilitation of the GEF Secretariat, UNEP drafted a project concept for a global TNA project for GEF funding, to be drawn from the Special Climate Change Fund (SCCF). An informal consultation led by the GEF Secretariat was carried out in the margins of the special meeting of the Expert Group on Technology Transfer (EGTT)

on February 24-26 in Bonn, Germany. The draft TNA project concept was shared with members of the EGTT, who reacted positively.

7. Subsequently, UNEP finalized the global TNA project concept and submitted it to the GEF Secretariat in late March. The project concept was cleared by the GEF CEO and has been included in the April 2009 Intersessional Work Program for approval by the LDCF/SCCF Council.

8. The TNA project will provide targeted financial and technical support to assist developing countries in carrying out improved TNAs within the framework of Article 4.5 of the UNFCCC. The project will support up to 45 developing countries in preparing their TNAs. The project will use methodologies in the revised TNA Handbook. Total GEF funding for this TNA project is \$9 million. The project concept is available at the GEF website: http://thegef.org/interior_right.aspx?id=24356.

Technology Transfer Pilot Projects

9. On March 25, 2009, the GEF CEO circulated a call for proposals for technology transfer pilot projects to all national GEF operational focal points, copied to the GEF agencies and the UNFCCC Secretariat (see Appendix A to this report). The call for proposals provides the background information, explains the procedures for submitting proposals, and outlines selection criteria. Funding from the GEF will support priority pilot projects with a view to scaling up investment in the transfer of environmentally sound technologies to developing countries.

10. The deadline for submitting proposals is August 14, 2009. The target funding level from the GEF for pilot projects is \$40 million. Proposals that meet the standard GEF review criteria as well as those included in this call for proposals, subject to the level of funding, will be approved by the GEF CEO in September if the requested GEF grant is \$1 million or less, or will enter the November 2009 Work Program for Council approval if the requested GEF grant is above \$1 million.

11. Through the GEF inter-agency climate change task force, bilateral consultation, seminars and workshops, and other channels, the GEF Secretariat has been working actively with the GEF agencies and interested parties to address their queries, to discuss project ideas, and to facilitate the development of project proposals.

Long-Term Implementation of the Strategic Program

12. In keeping with the COP14 decision that requested the GEF to consider the long-term implementation of the strategic program on technology transfer, the GEF Secretariat has identified technology transfer as a long-term priority objective of the GEF in the climate change focal area. Linking to the replenishment of the GEF Trust Fund, the GEF Secretariat is currently developing a climate change strategy for the period of the fifth replenishment from 2010 to 2014, in consultation with the Technical Advisory Group, GEF STAP, GEF agencies, and other stakeholders.

13. Technology transfer is featured in the draft GEF-5 strategy in the climate change focal area. The working document for GEF-5 replenishment, "Future Strategic Positioning of the GEF", includes preliminary ideas for technology transfer for GEF-5. Available on the GEF website: <http://thegef.org/interior.aspx?id=24216>, this document was presented to the first meeting on GEF-5 replenishment held in Paris, France on March 17-18, 2009. Elaboration of the strategic program on technology transfer by the GEF will be carried out with the development of the GEF-5 strategy and with the conclusion of the GEF-5 replenishment in early 2010.



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March 25, 2009

Call for Proposals: Technology Transfer Pilot Projects

Dear GEF Operational Focal Point:

I would like to invite you to submit proposals, through one of the GEF agencies, of technology transfer pilot projects under the Strategic Program on Technology Transfer.

As you know, the Fourteenth Session of the Conference of the Parties (COP14) to the United Nations Framework Convention on Climate Change welcomed the GEF (renamed the Poznan) Strategic Program on Technology Transfer as a step toward scaling up the level of investment in technology transfer in order to help developing countries address their needs for environmentally sound technologies. The Strategic Program on Technology Transfer consists of three funding windows: (1) technology needs assessments; (2) piloting priority technology projects; and (3) dissemination of successfully demonstrated technologies. The program will have a target funding level of \$50 million, and it will be implemented during the remainder of the fourth replenishment period of the GEF.

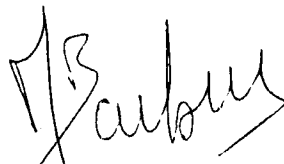
The funding window for technology transfer pilot projects has a target funding level of \$40 million, including project preparation grants and agency fees. Projects can be submitted to the GEF through one of the ten GEF agencies, so long as the agency has a comparative advantage in line with the proposed project. Submission, review, and approval of the projects will follow the standard GEF project cycle, with the following additional considerations:

1. **Deadline for submission.** Project proposals must be submitted to the GEF Secretariat by August 14, 2009. For full-size projects, the proposals cleared by the CEO will enter the November 2009 Work Program.
2. **Size of the GEF grant.** The GEF grant should range from \$1-3 million per project, including project preparation grant and agency fee. Each GEF-eligible country can receive funding for no more than one project.
3. **GEF focal point endorsement.** Endorsement by the GEF Operational Focal Point of the project proposal is required. However, funding for the project will not be drawn from the envelope of resources under the Resource Allocation Framework.

4. **Selection criteria.** In addition to the standard criteria for reviewing GEF climate change projects, emphasis will be given to the following elements:
- a. The proposal should include a succinct description of the technology to be targeted along with concrete activities related to technology transfer.
 - b. The targeted technology should be consistent with the national priorities as identified in the technology needs assessments, national communications to the UNFCCC, or other national policy documents.
 - c. Priority will be given to projects from countries that have not received GEF support for a related technology or sector in the past.
 - d. Innovative technologies and mechanisms for technology transfer, as well as highly leveraged projects, including investments from both the public and the private sector, will be encouraged.
 - e. South-South technology transfer and international collaborative projects are also encouraged.

If you have any questions regarding this call for proposals, please feel free to contact Mr. Zhihong Zhang, Cluster Coordinator, Climate Change Mitigation, at the GEF Secretariat (zzhang2@thegef.org).

Sincerely,

A handwritten signature in black ink, appearing to read 'M. Barbut', with a stylized flourish at the end.

Monique Barbut

CC: GEF Agencies
UNFCCC Secretariat