



GLOBAL ENVIRONMENT FACILITY
EVALUATION OFFICE

EVALUATION IN THE GEF

Familiarization Seminar 2011

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Overview

1. Evaluation in the GEF: GEF Evaluation Office
2. GEF M&E Policy
3. What is M &E
4. GEF5 GEFEO work program: OPS4
5. GEFEO Web Site and ASK ME



GEF Evaluation Office

Mission

“Enhance global environmental benefits through excellence, independence and partnership in monitoring and evaluation”

Principles

- » Impartiality
- » Professionalism
- » Transparency



The GEF Arrangement at the International Level



Objectives of Evaluation in the GEF

- ❑ **Promote accountability** for the achievement of GEF objectives through the assessment of **results, effectiveness, processes, and performance** of the partners involved in GEF activities
- ❑ **Promote learning, feedback, and knowledge sharing** on results and lessons learned among the GEF and its partners



What is the GEF M&E Policy?

- ❑ Document that contains minimum requirements for monitoring and evaluation (M&E) for GEF-funded activities covering project design, application of M&E at the project level, and project evaluation.
- ❑ This policy aims to explain the concept, role, and use of monitoring and evaluation within the GEF and define the institutional framework and define responsibilities.
- ❑ **New M&E Policy: Approved by GEF Council in November 2010**



Monitoring <-> Evaluation

Monitoring	Evaluation
Continuous or periodic	Episodic or Ad Hoc
Objectives taken as given	Objectives assessed versus higher goals
Indicators assumed to be appropriate	Indicators' validity and relevance assessed
Focus on intended results	Also attention for unintended results
Quantitative methods	Quantitative and qualitative methods
Data routinely collected	Multiple sources of data
Does not answer causal questions	Could answer causal questions
Internal management function	External function

M&E and RBM

- ❑ **Monitoring** is one of the main instruments of Results Based Management
- ❑ **Evaluation** is a “reality check” on monitoring and RBM
- ❑ **Monitoring** & RBM tell whether the organization is “on track”
- ❑ Evaluation could tell whether the organization is “on the **right track**”



M&E Key Responsibilities in GEF

Partner	Key Roles and Responsibilities in M&E
GEF Council	Policy-making Oversight Enabling environment for M&E
GEF Evaluation Office	Independent GEF evaluation Oversight of M&E Setting minimum requirements for evaluation
GEF Secretariat	GEF Results Based Management (monitoring and reporting) Review of GEF M&E requirements in project proposals
Agency GEF operational units	Monitoring of the Agency GEF portfolio Ensure M&E at the project level
Agency evaluation units	Project and/or corporate Agency evaluations Mainstreaming GEF into relevant Agency evaluation
STAP	Advice on scientific/technical matters in M&E Support to scientific and technical indicators
Participating Countries	Collaboration on M&E at portfolio and project levels
Stakeholders	Participation in monitoring activities and mechanisms Providing views and perceptions to evaluations



Evaluation Criteria

- Relevance.** The extent to which the activity is suited to local and national development priorities and organizational policies, including changes over time
- Effectiveness.** The extent to which an objective has been achieved or how likely it is to be achieved
- Efficiency.** The extent to which results have been delivered with the least costly resources possible; also called cost effectiveness or efficacy
- Results.** The positive and negative, and foreseen and unforeseen, changes to and effects produced by a development intervention
- Sustainability.** The likely ability of an intervention to continue to deliver benefits for an extended period of time after completion. Projects need to be environmentally as well as financially and socially sustainable



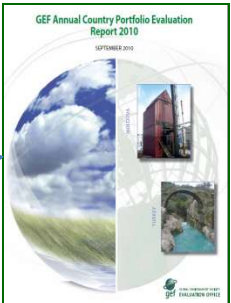
GEFEO GEF-5 Work Program

- ❑ Implementation of GEF M&E Policy
- ❑ Annual Reporting to Council:
 - Country Portfolio Evaluations (May-June)
 - Performance and process issues (May-June)
 - Impact (November)
 - Thematic/Cross-sectoral evaluations (November)
- ❑ Knowledge Sharing
 - dissemination of lessons;
 - participation in country support program
- ❑ Overall Performance Studies – replenishment process (every 4 years)
- ❑ Active participation evaluation communities (UN/MDBs)



Joint
Evaluations

Impact



CPEs



Learning
Products



Thematic

APR



Guidelines

S G P



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OPS4: Main Findings & Recommendations



Conclusions and Recommendations		
	Conclusion	Recommendation
The GEF in a Changing World	1 Global environmental trends continue to spiral downward.	Funding levels for global environmental issues need to rise substantially in order to tackle increasingly urgent problems.
	2 The GEF has been underfunded since GEF-2, given the scope of its agenda, the guidance of the conventions, and its mode of operation.	The GEF-5 replenishment needs to offer a substantial increase over GEF-4, or the GEF will need to reduce support dramatically to focal areas, groups of countries, or modalities.
	3 The GEF's link to international environmental agreements as a financial mechanism is an added value in tackling global environmental problems.	The GEF and the conventions need to interact to improve and focus guidance. Guidance should be prioritized at the national level.
	4 The GEF's mode of operation through three levels of action — foundation, demonstration, and investment — brings an added value to its catalytic role.	The catalytic role of the GEF can be strengthened by increasing its funding level and by incorporating catalytic lessons in improved guidance and monitoring.
	5 GEF support is relevant to national environmental and sustainable development priorities as well as to international and regional processes.	The GEF should further develop programming at the national level by supporting the creation of GEF national committees and GEF national business plans.
Progress Toward Impact	6 Seventy percent of finished projects show moderate to solid progress toward impact.	Progress toward impact in GEF-supported outcomes shows the value of a portfolio approach at the national level, which enables recipient countries to fully support and maximize progress toward global environmental benefits.
Issues Affecting Results	7 GEF projects achieve 80 percent moderately satisfactory and higher outcomes as compared to the benchmark norm of 75 percent, yet inefficiencies continue in the preapproval phase.	GEF project performance should be further strengthened through improved guidelines, a better fee structure, and strengthening of social and gender issues.
	8 The Small Grants Programme continues to be an effective tool for the GEF in achieving global environmental benefits while addressing the livelihood needs of local populations, with special attention to reaching the poor.	The Small Grants Programme should be recognized as a GEF modality that should be available to all recipient countries.
	9 Learning in the GEF is still not structurally and systematically encouraged.	Learning in the GEF should focus on cross-agency and cross-country learning and be consolidated in a corporate strategy.
	10 Monitoring, tracking tools, and impact indicators are not yet fully integrated into a results-based management framework for the GEF.	The GEF should integrate impact indicators and measurements in a results-based framework for GEF-5.
	11 Resources are managed relatively well in the GEF, but improvements are possible.	Improvements in resource management should focus on developing a new system for reserving funds for project ideas and reforming fiduciary standards and the fee system.
Governance and Partnership	12 The governance model of the GEF compares well to that of other international organizations.	Governance can be further improved by ensuring a more substantive role for the Assembly, by addressing constituency problems, and by implementing a longer term process to achieve a better division between governance and management in the Council.
	13 Tensions in the GEF partnership arise from programming and project identification issues; these in turn mostly stem from a lack of communication but are also due in part to fundamental questions on the appropriate roles of the GEF partners.	The Council should address tensions within the GEF partnership and provide guidance on roles and responsibilities.



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Ongoing Evaluations

M&E Policies & Guidelines

Learn about the GEF Evaluation Office Policies and Guidelines

- M&E Policy
- Ethical Guidelines
- Guidelines for Terminal Evaluations

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OPS4

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International Collaboration



Evaluations & Studies

- Annual Performance Reports
- Annual Country Portfolio Evaluations
- Annual Impact Reports
- Thematic Evaluations
- Overall Performance Studies
- Signposts

ASK ME: Applying and Sharing Knowledge on M&E

Text:

Document Type:

- Blog entry
- Image
- Approved Project Summary
- Council Document
- Council Meeting
- Date
- Document
- Evaluation Office Document**

EO Document Type:

- Annexes
- Approach Papers
- Archived Documents
- Case Studies
- Ethical Guideline
- Evaluation Reports**
- Information Document
- Lessons Learned
- M&E Policy

EO Evaluation Reports

- Annual Performance Report (APR)
- Impact Evaluations
- Annual/Country Portfolio Evaluation Report (ACPER)**
- Annual Impact Report (AIR)
- Overall Performance Study
- Thematic

Focal Area:

- Adaptation
- Biodiversity
- LDCF
- SCCF
- Climate Change**
- Mitigation
- Institutional
- International Waters

Evaluation Office Document

Evaluation Report


Annual Country Portfolio Evaluation

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Title	Type	Year
Annual Country Portfolio Evaluation Report 2010	Evaluation Office Document	09/30/2010
Country Portfolio Evaluation Report: Moldova (1994-2009)	Evaluation Office Document	06/17/2010
Country Portfolio Evaluation Report: Turkey (1992-2009)	Evaluation Office Document	06/01/2010
Country Portfolio Evaluation: Cameroon (1992-2007)	Evaluation Office Document	03/31/2009
Country Portfolio Evaluation: Egypt (1991-2008)	Evaluation Office Document	02/01/2009
Country Portfolio Evaluation: Syria (1994-2008)	Evaluation Office Document	11/02/2009
Country Portfolio Evaluation: Benin (1991-2007)	Evaluation Office Document	02/01/2008
Annual Country Portfolio Evaluation Report 2008	Evaluation Office Document	09/01/2008
Annual Country Portfolio Evaluation Report 2009	Evaluation Office Document	11/01/2009

Text size

QUICK LINKS

Adaptation	Eligibility	OPS4
Capacity Development	Instrument (The)	Climate-Eval.org
Career Opportunities GEF / GEFO	LDCF / SCCF	Contact the GEF
Civil Society Organizations (CSOs)	National Dialogue	GEF Evaluation Office Help Desk
Conflict Resolution	Renewable Energies	
Coral Triangle Initiative	Results & Learning	
Country Profile	STAR	
Council Member List / Focal Point List	Technology Transfer	
Earth Fund	The Adaptation Fund	

Internet | Protected Mode: On 100%



Thank you

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