



Global Environment Facility

GEF/IS/17
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INTERSESSIONAL WORK PROGRAM
SUBMITTED FOR GEF COUNCIL APPROVAL

Executive Summary

1. This is the fourth work program presented for GEF Council review and approval in GEF-4 and the second to apply the new project cycle that was approved by Council in June 2007. Under the new project cycle, each work program is comprised of project concepts in the form of Project Identification Forms (PIF) submitted to the GEF Secretariat for clearance on a rolling basis. To further reduce delays in the project cycle, the Secretariat has designed a system such that the CEO-cleared PIFs may be sent more quickly through the constitution of more frequent intersessional work programs. This January Intersessional Work Program (IWP) is the first IWP in 2008. Subsequent IWPs may be constituted as and when necessary, at the discretion of the CEO.

2. In this work program cover note¹, descriptions on how the proposed concepts relate to the strategic objectives, programming coherence and collective contribution to the GEF approved strategies are presented. For this January IWP, only concepts from three focal areas (i.e. biodiversity, climate change, and persistent organic pollutants) were submitted and constituted the work program.

¹ Due to the planned increased frequency of the intersessional work programs, cover notes for IWPs will be presented in a streamlined format. Work program cover notes for Council meetings will present more comprehensive analysis as has been done previously.

Table of Contents

Main features of the proposed work program.....	1
Status of the use of GEF-4 Resources.....	1
GEF Strategies and the Work Program.....	2
List of PIFs in the January Work Program	5

Annex A: Financial Details of the Project Identification Forms Submitted for Council Approval, January 2008

List of Tables:

- Table 1: GEF Resources requested in the February 2008 Work Program by Focal Area
- Table 2: Status of Resources Programmed under GEF-4 by Focal Area and Corporate Program

Where to send technical comments:

It is requested that Council members send their technical comments electronically (in Word file) to the GEF Secretariat's program coordination registry at: gcoordination@TheGEF.org

Main features of the proposed work program

1. The work program presented by the Secretariat for GEF Council review and approval is the fourth since the GEF-4 replenishment and since the GEF Resource Allocation Framework became applicable to the biodiversity and climate change focal areas. This is also the first intersessional work program (IWP) in 2008. Subsequent IWPs will be constituted as and when necessary, at the discretion of the CEO.

2. Table 1 shows the total GEF resources requested in this work program totaling \$58.53 million and their overall distribution by focal area. In addition to one multi-focal area concept, three focal areas (biodiversity, climate change and persistent organic pollutants) have concepts submitted in this work program.

Table 1. GEF Resources requested in the February 2008 Work Program by Focal Area (\$ million)

Focal Area	Number of Projects	GEF project Grant	Agency Fees*	Total GEF Resources Requested in this WP
Biodiversity	7	21.46	2.21	23.67
Climate Change	1	8.45	0.85	9.30
International Waters	-	-	-	-
Land Degradation	-	-	-	-
Ozone Depletion	-	-	-	-
Persistent Organic Pollutants (POPs)	4	21.28	2.20	23.48
Multi-focal Areas**	1	1.90	0.19	2.09
Total	13	53.09	5.45	58.53

* Agency fees are calculated as 10 percent of the GEF Project Grant (now being requested in this work program) plus the project preparation grants previously approved by the CEO.

** "Multi-focal areas" include a multi-focal area concept involving biodiversity and climate change focal areas.

Status of the use of GEF-4 Resources

3. Approvals of project preparation grants, full-sized projects, medium-sized projects, and enabling activities amount to \$822.32 million (including Agency fees) of GEF-4 resources up to December 31, 2007. When added to the total resources requested in this work program of \$58.53 million, total resources programmed for GEF-4 to-date will amount to \$880.86 million, including Agency fees, as shown in Table 2.

Table 2. Status of Resources Programmed under GEF-4 by Focal Area and Corporate Program (\$ million)

GEF Focal Area and Corporate Program	Target Allocations in GEF-4		GEF-4 approvals until Dec. 31, 2007		Resources requested through this work program, including agency fees		Total GEF-4 resources programmed*			% of GEF-4 Resources used
	Amount	%	Grant	Fees	Grant	Fees	Grant	Fees	Total	
Biodiversity	950.00	31.6%	137.56	12.87	22.41	2.31	159.97	15.17	175.15	18.4%
Climate Change	950.00	31.6%	168.56	15.99	9.40	0.94	177.96	16.93	194.89	20.5%
International Waters	335.00	11.1%	89.02	8.72	-	-	89.02	8.72	97.74	29.2%
Ozone Depletion	40.00	1.3%	0.84	0.08	-	-	0.84	0.08	0.91	2.3%
Land Degradation	282.00	9.4%	155.58	14.55	-	-	155.58	14.55	170.13	60.3%
Persistent Organic Pollutants (POPs)	282.00	9.4%	52.81	5.46	21.28	2.20	74.09	7.66	81.75	29.0%
Multi-focal Areas	-	0.0%	0.10	-	-	-	0.10	-	0.10	N/A
Core Corporate Programs	15.00	0.5%	-	-	-	-	-	-	-	0.0%
Corporate Programs	156.00	5.2%	106.00	4.00	-	-	106.00	4.00	110.00	70.5%
Public-Private Partnerships	-	0.0%	50.19	-	-	-	50.19	-	50.19	N/A
Total: Resources Programmed	3,010.00	100.0%	760.66	61.66	53.09	5.45	813.75	67.11	880.86	29.3%

* Total GEF-4 resources programmed are inclusive of all the resources approved to-date, given approval of this work program.

- 1: The Small Grants Program, which is a corporate program, is programmed from resources directly made available to the program under the replenishment plus resources earmarked by countries under the RAF in the biodiversity and climate change focal areas.
- 2: Funds for multi-focal area concepts were divided up among the different focal areas in respect of their allocations in the project documents.

GEF Strategies and the Work Program

4. This work program is composed of high quality proposals. The PIF concepts included in the work program were reviewed and considered in conformity with the focal area strategies and strategic programming for GEF-4, and screened for their capacity to deliver the most impact from a portfolio perspective. The PIF concepts also benefited from STAP screening on the technical and scientific aspects, ensuring higher standards of project design. Below are highlights of the proposals which described how they relate to the overall programmatic coherence and collective contributions to the GEF strategic objectives and programs.

Biodiversity

5. For this intersessional work program, the biodiversity focal area includes seven project concepts (PIFs). The GEF project grants for the seven projects amount to \$21.46 million, with a cofinancing of \$40.42 million. One additional project will engage biodiversity and climate change/adaptation resources requesting a total of \$1.9 million and leveraging \$4.8 million in cofinancing.

6. Linkage with the Strategic Objectives: Six of the seven biodiversity focal area projects in this work program address Strategic Objective One (SO1), focusing on Sustainability of National Protected Areas Systems (total GEF project grant of \$18.89 million), while the

remainder are linked to Strategic Objective Three (SO3), focusing on Safeguarding Biodiversity (GEF \$2.6 million). The multi-focal area project engaging biodiversity and climate change/adaptation resources will address Strategic Objective Two (SO-2) on mainstreaming biodiversity. As a matter of comparison, most projects included in the last work program (November 2007) addressed mainstreaming of biodiversity, while this one has a significant focus on protected areas systems. GEF's portfolio continues to build a strong and demonstrable track record on protected area creation and management, particularly ensuring that country investments in these protected areas systems have a lasting impact.

7. Linkage with the Strategic Programs: The aggregated targets of the seven projects in this work program comprise approximately 11 million hectares of new protected areas, and 14.6 million hectares of protected areas under improved management. If successful, these projects will make impressive contributions to biodiversity conservation in their respective countries, and their impact will be related to the indicators identified under the Biodiversity Strategic Programs that relates to protected areas systems.

8. The regional project in the Caribbean region on controlling invasive alien species will also impact on the indicators identified under the Strategic Program Seven (SP7) on Prevention, Control, and Management of Invasive Alien Species (IAS) under SO3. This project will establish national and regional coordination mechanisms to assist the design and implementation of national IAS strategies.

9. The protected area project in Thailand is directly linked to the targets of Strategic Program One (SP1), which aims to ensure sustainable financing of protected area systems at the national level. The proposal aims to improve and diversity funding for national protected area system. The proposals from Colombia, Turkey and Russia are focused on increasing representation and improving management effectiveness of marine protected areas in the national protected areas system. These outputs are directly linked to Strategic Program Two (SP2). The Kazakhstan project focuses on the conservation of steppe ecosystems, and the Mauritius proposal contributes to the Strategic Program Three (SP3) on strengthening terrestrial protected areas systems.

10. The Tajikistan project engaging multiple sources of GEF funding will focus on embedding the issues of globally significant agro-biodiversity and climate resilience into the agriculture policies and rural development at national and local levels in Tajikistan.

11. Regional Distribution: The seven projects are distributed as follows: two projects in the Latin America and Caribbean, two projects in Europe and Central Asia, one project in Asia, and one project in the Middle East region in this work program.

Climate Change (CC)

12. Creating Markets for Renewable Power in Ukraine is the only climate change project for this Intersessional Work Program. The project is consistent with GEF-4 strategy to promote on-grid renewable energy. GEF funding for the project is \$8.45 million, and will be used as technical assistance for policy support, capacity building for local banks and businesses, and facilitation of establishing a financing mechanism.

13. What is noteworthy is that this project marks the beginning of a full-fledged partnership between GEF and EBRD in the climate change focal area. The project is fully consistent with EBRD's comparative advantage, as described in the June 2007 Council document. Aside from the GEF, the financing package of the project includes a \$40 million EBRD loan, \$40 million investments from the private sector, as well as \$1.3 million grants from EBRD and in-kind contribution of the Government of Ukraine.

Persistent Organic Pollutants (POPs)

14. The proposed work program includes 4 projects addressing POPs priority issues in three countries, for a total GEF resources estimated at \$23.48 million (incl. fees). The total co-financing directly leveraged through these GEF operations amounts to \$58.1 million.

15. Linkage with the Strategic Programs: The project "*Design and Execution of a Comprehensive PCB Management Plan*" addresses a top implementation priority for Kazakhstan under the Stockholm Convention. The project which leverages significant government co-financing, will be implemented in coordination with SAICM supported activities to reinforce broader chemicals management capacities. The project responds to POPs Strategic Programs 1 "Strengthening capacity for NIP development and implementation"; and SP2 "Partnering in investments for NIP implementation".

16. The project "*Improvement of DDT-based Production of Dicofol and Introduction of Alternative Technologies including IPM for Leaf Mites Control in China*" addresses a globally significant source of DDT to the environment. The project supports a mix of capacity building, investments, and demonstrations, and therefore addresses all three Strategic Programs under the POPs focal area. The project will: support the improvement of processes for the most up-to-date production facilities; support the closure of antiquated technologies (any activity related to workers compensation or resettlement is to be supported through co-financing only); and support the introduction of alternatives to dicofol - a pesticide banned in many countries.

17. The "*PCB Management Demonstration Project*" will develop a PCB management framework for Vietnam and start the process of implementing it in selected provinces. The project is closely linked to the World Bank's Rural Distribution Project under preparation and that is expected to contribute additional resources for sound PCB management. The project responds to POPs Strategic Programs 1 (SP1) "Strengthening Capacity for NIP Development and Implementation"; and SP2 "Partnering in Investments for NIP implementation".

18. Finally, the "*Environmental Remediation of Dioxin Contaminated Hotspots in Vietnam*" project responds to POPs Strategic Program 3 "Partnering in the demonstration of feasible, innovative technologies and best practices for POPs reduction" to address some of the largest sources of dioxins known worldwide and reduce human exposure to these sources. The project is a partnership that brings together the government of Vietnam and a number of international donors, including the GEF.

List of PIFs in the January Work Program²

Biodiversity

1. **Regional (Dominican Republic, Jamaica, St. Lucia, Trinidad and Tobago, Bahamas)** : Mitigating the Threats of Invasive Alien Species in the Insular Caribbean (UNEP) (GEF Project Grant: \$2.58 m)
2. **Colombia** : Protecting Biodiversity in the Southwestern Caribbean Sea (IADB) (GEF Project Grant: \$3.00 m)
3. **Kazakhstan** : Kazak Steppe Conservation and Management (UNDP) (GEF Project Grant: \$2.22 m)
4. **Mauritius** : Expanding Coverage and Strengthening Management Effectiveness of the Terrestrial Protected Area Network on the Island of Mauritius (UNDP) (GEF Project Grant: \$4.00 m)
5. **Russian Federation** : Strengthening the Marine and Coastal Protected Areas of Russia (UNDP) (GEF Project Grant: \$4.00 m)
6. **Thailand** : Catalyzing Sustainability of Thailand's Protected Area System (UNDP) (GEF Project Grant: \$3.37 m)
7. **Turkey** : Strengthening Protected Area Network of Turkey: Catalyzing Sustainability of Marine and Coastal Protected Areas (UNDP) (GEF Project Grant: \$2.30 m)

Climate Change

8. **Ukraine** : Creating Markets for Renewable Power in Ukraine (EBRD) (GEF Project Grant: \$8.45 m)

Persistent Organic Pollutants (POPs)

9. **China** : Improvement of Production Technology of Dicofol from DDT and Introduction Technology for Leaf Mites Control (UNDP) (GEF Project Grant: \$6.00 m)
10. **Kazakhstan** : Design and Execution of a Comprehensive PCB Management Plan for Kazakhstan (UNDP) (GEF Project Grant: \$3.30 m)
11. **Vietnam** : Environmental Remediation of Dioxin Contaminated Hotspots in Vietnam (UNDP) (GEF Project Grant: \$4.98 m)
12. **Vietnam** : PCB Management Demonstration Project (World Bank) (GEF Project Grant: \$7.00 m)

Multi-focal Areas

13. **Tajikistan** : Sustaining Agricultural Biodiversity in the Face of Climate Change (UNDP) (GEF Project Grant: \$1.90 m)

² The GEF Project Grant is the funding request for the project and does not include project preparation grants nor Agency fees.

PROJECT PROPOSALS SUBMITTED FOR COUNCIL APPROVAL

January 2008

All amounts in US\$ million.

GEF #	ID	OP Country	IA	Project Title	PDF A	PDF + PPG	GEF Project Grant	Total GEF Grant*	Cofin.	Agency Fees	Total Project Cost **
<u>Biodiversity</u>											
1	3183	1 Regional (Dominican Republic, Jamaica, St. Lucia, Trinidad and Tobago, Bahamas)	UNEP	Mitigating the Threats of Invasive Alien Species in the Insular Caribbean	0.025	0.200	2.575	2.800	3.084	0.280	5.884
2	3532	Colombia	IADB	Protecting Biodiversity in the Southwestern Caribbean Sea			3.000	3.000	4.150	0.300	7.150
3	3293	1 Kazakhstan	UNDP	Kazak Steppe Conservation and Management		0.350	2.215	2.565	5.702	0.257	8.267
4	3526	Mauritius	UNDP	Expanding Coverage and Strengthening Management Effectiveness of the Terrestrial Protected Area Network on the Island of Mauritius			4.000	4.000	6.000	0.400	10.000
5	3518	1 Russian Federation	UNDP	Strengthening the Marine and Coastal Protected Areas of Russia		0.070	4.000	4.070	8.500	0.407	12.570
6	3517	1 Thailand	UNDP	Catalyzing Sustainability of Thailand's Protected Area System			3.370	3.370	8.980	0.337	12.350
7	3550	Turkey	UNDP	Strengthening Protected Area Network of Turkey: Catalyzing Sustainability of Marine and Coastal Protected Areas			2.300	2.300	4.000	0.230	6.300
Sub total for Biodiversity					0.025	0.620	21.460	22.105	40.417	2.210	62.522
<u>Climate Change</u>											
8	3535	Ukraine	EBRD	Creating Markets for Renewable Power in Ukraine			8.450	8.450	82.076	0.845	90.526
Sub total for Climate Change							8.450	8.450	82.076	0.845	90.526

* "Total GEF Grant" is the GEF Project Grant plus previously approved project preparation grant(PDF A, PDF B, PDF C and PPG).

** "Total Project Cost" includes Total GEF Grant and cofinancing.

All amounts in US\$ million.

GEF #	ID	OP	Country	IA	Project Title	PDF A	PDF + PPG	GEF Project Grant	Total GEF Grant*	Cofin.	Agency Fees	Total Project Cost **
<u>Persistent Organic Pollutants (POPs)</u>												
9	2629	14	China	UNDP	Improvement of Production Technology of Dicofol from DDT and Introduction Technology for Leaf Mites Control		0.295	6.000	6.295	11.650	0.630	17.945
10	2816	14	Kazakhstan	UNDP	Design and Execution of a Comprehensive PCB Management Plan for Kazakhstan	0.050		3.300	3.350	10.600	0.335	13.950
11	3032	14	Vietnam	UNDP	Environmental Remediation of Dioxin Contaminated Hotspots in Vietnam	0.025		4.977	5.002	25.350	0.500	30.352
12	2974	14	Vietnam	World Bank	PCB Management Demonstration Project		0.350	7.000	7.350	10.500	0.735	17.850
Sub total for Persistent Organic Pollutants (POPs)						0.075	0.645	21.277	21.997	58.100	2.200	80.097
<u>Multi-Focal Area/Corporate Programs</u>												
13	3129	M^	Tajikistan	UNDP	Sustaining Agricultural Biodiversity in the Face of Climate Change	0.025		1.900	1.925	4.800	0.193	6.725
Sub total for Multi-Focal Area/Corporate Programs						0.025		1.900	1.925	4.800	0.193	6.725
<u>Total for Work Program</u>						0.125	1.265	53.087	54.477	185.393	5.448	239.870

* "Total GEF Grant" is the GEF Project Grant plus previously approved project preparation grant(PDF A, PDF B, PDF C and PPG).

** "Total Project Cost" includes Total GEF Grant and cofinancing.